

**Quarterly summary of progress with AGS risk action  
To Audit Committee: 24<sup>th</sup> March 2020**

**Significant current issues from 2018/19 to focus on in 2019/20.**

The risk actions below were identified in the 2018/19 AGS review. Progress will continue to be made in 2019/20, monitored and driven forward by the Service Managers Group and reported to Audit Committee quarterly.

Please note that as part of the last AGS it was determined that:

- Information Management – progress towards delivering compliance to GDPR has been deemed sufficiently progressed enough to remove

	What actions will be taken over the coming months	What progress have we made against each action	RAG Status
<p><b>The Disaster Recovery plan in place for IT arrangements</b></p> <p><b>Matt Smith Jaclyn Gibson</b></p>	<p><i>The IT Disaster Recovery plan is not sufficiently aligned with the Business Continuity plans that are currently in place for restoring key services, in terms of IT needs</i></p> <p>Actions agreed:</p> <ol style="list-style-type: none"> <li>1. The EP &amp; BC Officer is to review current schedules with the Service Managers – <b>June 2019</b></li> <li>2. BC group to re-assess which services are defined as “critical Services” – <b>September 2019</b></li> <li>3. Significant investment in the IT infrastructure to be approved by Exec to upgrade live and DR sites – <b>December 2019</b></li> <li>4. Review of the IT DR plan to be completed by <b>June 2020</b></li> <li>5. Alignment between the new ITDR and BC recovery plans to be implemented by <b>October 2020</b></li> </ol>	<ol style="list-style-type: none"> <li>1. Desktop review of current BCP’s completed.</li> <li>2. Review of critical services has commenced, still awaiting completion of assessments from all service areas (a number are still outstanding and were anticipated to be finalised in February) – estimated completion date is now <b>to be agreed as priority work on business continuity plans in response to Covid-19 is being undertaken.</b></li> <li>3. Procurement exercise now complete. Will enhance DR significantly once solution is implemented. Working with supplier on installation and migration</li> </ol> <p>As part of the investigative work for the new infrastructure – it has been established that there is currently insufficient power available at Hamilton House (back up site) to implement the new infrastructure. Officers are currently developing actions to mitigate and establish whether there is any risk of additional costs as a result. The electrical work required has now been established and needs to be scheduled. In addition, the server room will be reconfigured to improve security and environmental conditions. This is also being scheduled.</p>	<div style="background-color: red; color: white; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">R</div>